

**Shareholding Pattern under Regulation 31 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015**

|    |   |
|----|---|
| 1. | Name of Listed Entity: Uttam Sugar Mills Limited  |
| 2. | Scrip Code/Name of Scrip/Class of Security: 532729  |
| 3. | Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg. 31(1)(c)-   |
|    | a. If under 31(1)(b) then indicate the report for Quarter ending  |
|    | b. If under 31(1)(c) then indicate date of allotment/extinguishment   |
| 4. | Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:- |

|   | Particulars  | Yes* | No* |
|---|--|------|-----|
| 1 | Whether the Listed Entity has issued any partly paid up shares?                        |      | No  |
| 2 | Whether the Listed Entity has issued any Convertible Securities or Warrants?           |      | No  |
| 3 | Whether the Listed Entity has any shares against which depository receipts are issued? |      | No  |
| 4 | Whether the Listed Entity has any shares in locked-in?                                 |      | No  |
| 5 | Whether any shares held by promoters are pledge or otherwise encumbered?               |      | No  |

\* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

GENERATED ON :04/04/2023

NSDL : 31/03/2023

CDSL :31/03/2023

*[Handwritten Signature]*



Uttam Sugar Mills Limited

Table I - Summary Statement holding of specified securities

| Category | Category of shareholder       | Nos. of shareholders | No. of fully paid up equity shares held | No. of Partly paid-up equity shares held | No. of shares underlying Depository Receipts | Total nos. shares held | Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) | Number of Voting Rights held in each class of securities |             |          | No. of Shares Underlying Outstanding convertible securities (Including Warrants) | Shareholding, as a % assuming full conversion of convertible securities (as a percentage of total shares) | Number of Locked in shares |         | Number of Shares pledged or otherwise encumbered |         | Number of equity shares held in dematerialised form |                                |
|----------|-------------------------------|----------------------|---|--|--|------------------------|---|--|-------------|----------|--|---|----------------------------|---------|--|---------|---|--------------------------------|
|          |                               |                      |   |  |  |                        |   | No of Voting Rights                                      |             |          |  |   | Total as a % of (A+B+C)    | No. (a) | As a % of total Shares held(b)                   | No. (a) |   | As a % of total Shares held(b) |
|          |                               |                      |   |  |  |                        |   | Class eg: X  | Class eg: y | Total    |  |   |                            |         |  |         |   |                                |
| (i)      | (ii)                          | (iii)                | (iv)                                    | (v)                                      | (vi)   | (vii) =                | (viii) As a   | (ix)   |             |          | (x)  | (xi) =  | (xii)                      |         | (xiii)   | (xiv)   |   |                                |
| (A)      | Promoter & Promoter Group     | 18                   | 27783253                                | 0  | 0  | 27783253               | 72.8490   | 27783253   | 0           | 27783253 | 72.8490  | 0   | 72.8490                    | 0       | 0.0000   | 0       | 0.0000  | 27783253                       |
| (B)      | Public                        | 23688                | 10354867                                | 0  | 0  | 10354867               | 27.1510   | 10354867   | 0           | 10354867 | 27.1510  | 0   | 27.1510                    | 0       | 0.0000   | NA      | NA  | 10212528                       |
| (C)      | Non Promoter - Non Public     |                      |   |  |  |                        |   |  |             |          |  |   |                            |         | 0.0000   | NA      | NA  |                                |
| (C1)     | Shares Underlying DRs         | 0                    | 0                                       | 0  | 0  | 0                      | 0.0000  | 0  | 0           | 0        | 0.0000   | 0   | 0.0000                     | 0       | 0.0000   | NA      | NA  | 0                              |
| (C2)     | Shares Held By Employee Trust | 0                    | 0                                       | 0  | 0  | 0                      | 0.0000  | 0  | 0           | 0        | 0.0000   | 0   | 0.0000                     | 0       | 0.0000   | NA      | NA  | 0                              |
|          | Total                         | 23706                | 38138120                                | 0  | 0  | 38138120               | 100.0000  | 38138120   | 0           | 38138120 | 100.0000   | 0   | 100.0000                   | 0       | 0.0000   | 0       | 0.0000  | 37995781                       |

GENERATED ON :04/04/2023 NSDL : 31/03/2023 CDSL :31/03/2023



**Uttam Sugar Mills Limited**

**Table II - Statement showing shareholding pattern of the Promoter and Promoter Group**

|            | Category & Name of the shareholders   | Entity Type    | PAN        | Nos. of shareholders | No. of fully paid up equity shares held | Partly paid-up equity shares held | No. of shares underlying Depository Receipts | Total nos. shares held | Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) | Number of Voting Rights held in each class of securities |             |                 | No. of Shares Underlying Outstanding convertible securities (including Warrants) | Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) | Number of Locked In shares          |          | Number of Shares pledged or otherwise encumbered |          | Number of equity shares held in dematerialised form |                                |
|------------|---|----------------|------------|----------------------|---|-----------------------------------|--|------------------------|--|--|-------------|-----------------|--|--|-------------------------------------|----------|--|----------|---|--------------------------------|
|            |   |                |            |                      |   |                                   |  |                        |  | No of Voting Rights                                      |             |                 |  |  | Total as a % of Total Voting Rights | No. (a)  | As a % of total Shares held(b)                   | No. (a)  |   | As a % of total Shares held(b) |
|            |   |                |            |                      |   |                                   |  |                        |  | Class eg: X  | Class eg: y | Total           |  |  |                                     |          |  |          |   |                                |
|            | (I)   |                | (II)       | (III)                | (IV)                                    | (V)                               | (VI)   | (VII) =                | (VIII) As a  | (IX)   |             |                 | (X)  | (XU) =   | (XII)                               | (XIII)   |  | (XIV)    |   |                                |
| <b>1</b>   | <b>Indian</b>   |                |            |                      |   |                                   |  |                        |  |  |             |                 |  |  |                                     |          |  |          |   |                                |
| <b>(a)</b> | <b>Individuals / Hindu Undivided Family</b>                                 |                |            | <b>12</b>            | <b>4765139</b>                          | <b>0</b>                          | <b>0</b>                                     | <b>4765139</b>         | <b>12.4944</b>   | <b>4765139</b>   | <b>0</b>    | <b>4765139</b>  | <b>12.4944</b>   | <b>0</b>   | <b>12.4944</b>                      | <b>0</b> | <b>0.0000</b>                                    | <b>0</b> | <b>0.0000</b>                                       | <b>4765139</b>                 |
|            | Raj Kumar Adlakha   | Promoters      | AJZPK7896C | 1                    | 1624610                                 | 0                                 | 0  | 1624610                | 4.2598   | 1624610  | 0           | 1624610         | 4.2598   | 0  | 4.2598                              | 0        | 0.0000   | 0        | 0.0000  | 1624610                        |
|            | Ranjan Adlakha  | Promoters      | AAEPA8800E | 1                    | 1492550                                 | 0                                 | 0  | 1492550                | 3.9135   | 1492550  | 0           | 1492550         | 3.9135   | 0  | 3.9135                              | 0        | 0.0000   | 0        | 0.0000  | 1492550                        |
|            | Shomna Adlakha  | Promoter Group | AEMPA3857P | 1                    | 870410                                  | 0                                 | 0  | 870410                 | 2.2823   | 870410   | 0           | 870410          | 2.2823   | 0  | 2.2823                              | 0        | 0.0000   | 0        | 0.0000  | 870410                         |
|            | Ranjana Chopra  | Promoter Group | AAFC2296R  | 1                    | 373301                                  | 0                                 | 0  | 373301                 | 0.9788   | 373301   | 0           | 373301          | 0.9788   | 0  | 0.9788                              | 0        | 0.0000   | 0        | 0.0000  | 373301                         |
|            | Rajni Babbar  | Promoter Group | ACCPB1027G | 1                    | 139910                                  | 0                                 | 0  | 139910                 | 0.3669   | 139910   | 0           | 139910          | 0.3669   | 0  | 0.3669                              | 0        | 0.0000   | 0        | 0.0000  | 139910                         |
|            | Sunayna Sunna Adlakha   | Promoter Group | BQJPA0659P | 1                    | 114856                                  | 0                                 | 0  | 114856                 | 0.3012   | 114856   | 0           | 114856          | 0.3012   | 0  | 0.3012                              | 0        | 0.0000   | 0        | 0.0000  | 114856                         |
|            | Rajan Adlakha   | Promoters      | ADEPA1936C | 1                    | 78088                                   | 0                                 | 0  | 78088                  | 0.2048   | 78088  | 0           | 78088           | 0.2048   | 0  | 0.2048                              | 0        | 0.0000   | 0        | 0.0000  | 78088                          |
|            | Shanta  | Promoter Group | AMEPS8581J | 1                    | 60000                                   | 0                                 | 0  | 60000                  | 0.1573   | 60000  | 0           | 60000           | 0.1573   | 0  | 0.1573                              | 0        | 0.0000   | 0        | 0.0000  | 60000                          |
|            | Jai Adlakha   | Promoter Group | AXUPA5278K | 1                    | 4200                                    | 0                                 | 0  | 4200                   | 0.0110   | 4200   | 0           | 4200            | 0.0110   | 0  | 0.0110                              | 0        | 0.0000   | 0        | 0.0000  | 4200                           |
|            | Raj Kumar Adlakha Huf   | Promoter Group | AAGHR4573R | 1                    | 4104                                    | 0                                 | 0  | 4104                   | 0.0108   | 4104   | 0           | 4104            | 0.0108   | 0  | 0.0108                              | 0        | 0.0000   | 0        | 0.0000  | 4104                           |
|            | Balram Adlakha  | Promoter Group | AW7PA3967F | 1                    | 3100                                    | 0                                 | 0  | 3100                   | 0.0081   | 3100   | 0           | 3100            | 0.0081   | 0  | 0.0081                              | 0        | 0.0000   | 0        | 0.0000  | 3100                           |
|            | Sonia Adlakha   | Promoter Group | ACBPA8837E | 1                    | 10                                      | 0                                 | 0  | 10                     | 0.0000   | 10   | 0           | 10              | 0.0000   | 0  | 0.0000                              | 0        | 0.0000   | 0        | 0.0000  | 10                             |
|            | Bharat Adlakha  | Promoter Group | AKPPA0317B | 0                    | 0                                       | 0                                 | 0  | 0                      | 0  | 0  | 0           | 0               | 0  | 0  | 0                                   | 0        | 0  | 0        | 0   | 0                              |
|            | Sajeesh Adlakha   | Promoter Group | AKPPA4439G | 0                    | 0                                       | 0                                 | 0  | 0                      | 0  | 0  | 0           | 0               | 0  | 0  | 0                                   | 0        | 0  | 0        | 0   | 0                              |
| <b>(b)</b> | <b>Central Government / State Government(s)</b>                             |                |            | <b>0</b>             | <b>0</b>                                | <b>0</b>                          | <b>0</b>                                     | <b>0</b>               | <b>0.0000</b>  | <b>0</b>   | <b>0</b>    | <b>0</b>        | <b>0.0000</b>  | <b>0</b>   | <b>0.0000</b>                       | <b>0</b> | <b>0.0000</b>                                    | <b>0</b> | <b>0.0000</b>                                       | <b>0</b>                       |
| <b>(c)</b> | <b>Financial Institutions / Banks</b>                                       |                |            | <b>0</b>             | <b>0</b>                                | <b>0</b>                          | <b>0</b>                                     | <b>0</b>               | <b>0.0000</b>  | <b>0</b>   | <b>0</b>    | <b>0</b>        | <b>0.0000</b>  | <b>0</b>   | <b>0.0000</b>                       | <b>0</b> | <b>0.0000</b>                                    | <b>0</b> | <b>0.0000</b>                                       | <b>0</b>                       |
| <b>(d)</b> | <b>Any Other (Specify)</b>  |                |            | <b>6</b>             | <b>23018114</b>                         | <b>0</b>                          | <b>0</b>                                     | <b>23018114</b>        | <b>60.3546</b>   | <b>23018114</b>  | <b>0</b>    | <b>23018114</b> | <b>60.3546</b>   | <b>0</b>   | <b>60.3546</b>                      | <b>0</b> | <b>0.0000</b>                                    | <b>0</b> | <b>0.0000</b>                                       | <b>23018114</b>                |
|            | <b>Bodies Corporate</b>   |                |            | <b>6</b>             | <b>23018114</b>                         | <b>0</b>                          | <b>0</b>                                     | <b>23018114</b>        | <b>60.3546</b>   | <b>23018114</b>  | <b>0</b>    | <b>23018114</b> | <b>60.3546</b>   | <b>0</b>   | <b>60.3546</b>                      | <b>0</b> | <b>0.0000</b>                                    | <b>0</b> | <b>0.0000</b>                                       | <b>23018114</b>                |
|            | Uttam Adlakha & Sons Holdings Private Limited                               | Promoter Group | AAACG0405E | 1                    | 16301224                                | 0                                 | 0  | 16301224               | 42.7426  | 16301224   | 0           | 16301224        | 42.7426  | 0  | 42.7426                             | 0        | 0.0000   | 0        | 0.0000  | 16301224                       |
|            | Lipi Rollers Private Limited  | Promoters      | AAACL1650L | 1                    | 3515000                                 | 0                                 | 0  | 3515000                | 9.2165   | 3515000  | 0           | 3515000         | 9.2165   | 0  | 9.2165                              | 0        | 0.0000   | 0        | 0.0000  | 3515000                        |
|            | Uttam Industrial Engineering Private Limited                                | Promoters      | AAACU1074B | 1                    | 2750000                                 | 0                                 | 0  | 2750000                | 7.2106   | 2750000  | 0           | 2750000         | 7.2106   | 0  | 7.2106                              | 0        | 0.0000   | 0        | 0.0000  | 2750000                        |
|            | New Castle Finance And Leasing Pvt Ltd                                      | Promoter Group | AAACN0386K | 1                    | 380650                                  | 0                                 | 0  | 380650                 | 0.9981   | 380650   | 0           | 380650          | 0.9981   | 0  | 0.9981                              | 0        | 0.0000   | 0        | 0.0000  | 380650                         |
|            | Shubham Sugars Limited  | Promoter Group | AAICS3936I | 1                    | 70240                                   | 0                                 | 0  | 70240                  | 0.1842   | 70240  | 0           | 70240           | 0.1842   | 0  | 0.1842                              | 0        | 0.0000   | 0        | 0.0000  | 70240                          |
|            | Uttam SucoTech Limited  | Promoters      | AAACU4042K | 1                    | 1000                                    | 0                                 | 0  | 1000                   | 0.0026   | 1000   | 0           | 1000            | 0.0026   | 0  | 0.0026                              | 0        | 0.0000   | 0        | 0.0000  | 1000                           |
|            | <b>Sub Total (A)(1)</b>   |                |            | <b>18</b>            | <b>27783253</b>                         | <b>0</b>                          | <b>0</b>                                     | <b>27783253</b>        | <b>72.8490</b>   | <b>27783253</b>  | <b>0</b>    | <b>27783253</b> | <b>72.8490</b>   | <b>0</b>   | <b>72.8490</b>                      | <b>0</b> | <b>0.0000</b>                                    | <b>0</b> | <b>0.0000</b>                                       | <b>27783253</b>                |
| <b>2</b>   | <b>Foreign</b>  |                |            |                      |   |                                   |  |                        |  |  |             |                 |  |  |                                     |          |  |          |   |                                |
| <b>(a)</b> | <b>Individuals (Non-Resident Individuals / Foreign Individuals)</b>         |                |            | <b>0</b>             | <b>0</b>                                | <b>0</b>                          | <b>0</b>                                     | <b>0</b>               | <b>0.0000</b>  | <b>0</b>   | <b>0</b>    | <b>0</b>        | <b>0.0000</b>  | <b>0</b>   | <b>0.0000</b>                       | <b>0</b> | <b>0.0000</b>                                    | <b>0</b> | <b>0.0000</b>                                       | <b>0</b>                       |
| <b>(b)</b> | <b>Government</b>   |                |            | <b>0</b>             | <b>0</b>                                | <b>0</b>                          | <b>0</b>                                     | <b>0</b>               | <b>0.0000</b>  | <b>0</b>   | <b>0</b>    | <b>0</b>        | <b>0.0000</b>  | <b>0</b>   | <b>0.0000</b>                       | <b>0</b> | <b>0.0000</b>                                    | <b>0</b> | <b>0.0000</b>                                       | <b>0</b>                       |
| <b>(c)</b> | <b>Institutions</b>   |                |            | <b>0</b>             | <b>0</b>                                | <b>0</b>                          | <b>0</b>                                     | <b>0</b>               | <b>0.0000</b>  | <b>0</b>   | <b>0</b>    | <b>0</b>        | <b>0.0000</b>  | <b>0</b>   | <b>0.0000</b>                       | <b>0</b> | <b>0.0000</b>                                    | <b>0</b> | <b>0.0000</b>                                       | <b>0</b>                       |
| <b>(d)</b> | <b>Foreign Portfolio Investor</b>   |                |            | <b>0</b>             | <b>0</b>                                | <b>0</b>                          | <b>0</b>                                     | <b>0</b>               | <b>0.0000</b>  | <b>0</b>   | <b>0</b>    | <b>0</b>        | <b>0.0000</b>  | <b>0</b>   | <b>0.0000</b>                       | <b>0</b> | <b>0.0000</b>                                    | <b>0</b> | <b>0.0000</b>                                       | <b>0</b>                       |
| <b>(e)</b> | <b>Any Other (Specify)</b>  |                |            | <b>0</b>             | <b>0</b>                                | <b>0</b>                          | <b>0</b>                                     | <b>0</b>               | <b>0.0000</b>  | <b>0</b>   | <b>0</b>    | <b>0</b>        | <b>0.0000</b>  | <b>0</b>   | <b>0.0000</b>                       | <b>0</b> | <b>0.0000</b>                                    | <b>0</b> | <b>0.0000</b>                                       | <b>0</b>                       |
|            | <b>Sub Total (A)(2)</b>   |                |            | <b>0</b>             | <b>0</b>                                | <b>0</b>                          | <b>0</b>                                     | <b>0</b>               | <b>0.0000</b>  | <b>0</b>   | <b>0</b>    | <b>0</b>        | <b>0.0000</b>  | <b>0</b>   | <b>0.0000</b>                       | <b>0</b> | <b>0.0000</b>                                    | <b>0</b> | <b>0.0000</b>                                       | <b>0</b>                       |
|            | <b>Total Shareholding Of Promoter And Promoter Group (A)= (A)(1)+(A)(2)</b> |                |            | <b>18</b>            | <b>27783253</b>                         | <b>0</b>                          | <b>0</b>                                     | <b>27783253</b>        | <b>72.8490</b>   | <b>27783253</b>  | <b>0</b>    | <b>27783253</b> | <b>72.8490</b>   | <b>0</b>   | <b>72.8490</b>                      | <b>0</b> | <b>0.0000</b>                                    | <b>0</b> | <b>0.0000</b>                                       | <b>27783253</b>                |

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note :

(1) PAN would not be displayed on website of Stock Exchange(s)

(2) The term 'Encumbrance' has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011

GENERATED ON :04/04/2023

NSDL : 31/03/2023

CDSL :31/03/2023







**Uttam Sugar Mills Limited**

**Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder**

|   | Category & Name of the shareholders   | PAN  | Nos. of shareholders | No. of fully paid up equity shares held | Partly paid-up equity shares held | No. of shares underlying Depository Receipts | Total nos. shares held | Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) | Number of Voting Rights held In each class of securities |             |       | No. of Shares Underlying Outstanding convertible securities (including Warrants) | Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) | Number of Locked in shares |         | Number of Shares pledged or otherwise encumbered |         | Number of equity shares held in dematerialised form |                                |
|---|---|------|----------------------|---|-----------------------------------|--|------------------------|--|--|-------------|-------|--|--|----------------------------|---------|--|---------|---|--------------------------------|
|   |   |      |                      |   |                                   |  |                        |  | No of Voting Rights                                      |             |       |  |  | Total as a % of (A+B+C)    | No. (a) | As a % of total Shares held(b)                   | No. (a) |   | As a % of total Shares held(b) |
|   |   |      |                      |   |                                   |  |                        |  | Class eg: X  | Class eg: y | Total |  |  |                            |         |  |         |   |                                |
|   | (i)   | (ii) | (iii)                | (iv)                                    | (v)                               | (vi)   | (vii) =                | (viii) As a  | (ix)   |             |       | (x)  | (xi)=  | (xii)                      |         | (xiii)   |         | (xiv)   |                                |
| 1 | Custodian/DR Holder   |      | 0                    | 0                                       | 0                                 | 0  | 0                      | 0.0000   | 0  | 0           | 0     | 0.0000   | 0  | 0.0000                     | 0       | 0.0000   | NA      | NA  | 0                              |
| 2 | Employee Benefit Trust / Employee Welfare Trust under SEBI (Share based Employee Benefits and Sweat Equity) Regulations, 2021 |      | 0                    | 0                                       | 0                                 | 0  | 0                      | 0.0000   | 0  | 0           | 0     | 0.0000   | 0  | 0.0000                     | 0       | 0.0000   | NA      | NA  | 0                              |
|   | <b>Total Non-Promoter- Non Public Shareholding (C)= (C1)+(C2)</b>   |      | 0                    | 0                                       | 0                                 | 0  | 0                      | 0.0000   | 0  | 0           | 0     | 0.0000   | 0  | 0.0000                     | 0       | 0.0000   | NA      | NA  | 0                              |

**Note :**

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to be disclosed along with the names of the shareholders holding 1% or more than 1% of shares of the listed entity. Column no.(Xiii)is not applicable in the above format.

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available.

GENERATED ON :04/04/2023

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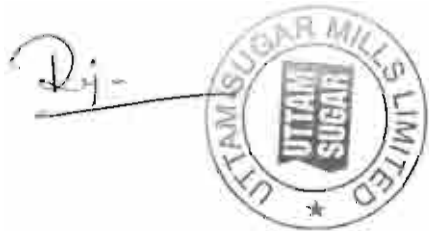
CDSL :31/03/2023



**Uttam Sugar Mills Limited**

**Table V - Significant Beneficial Owners**

| Sr. No | Details of the significant beneficial owner (i) |            |             | Details of the registered owner (ii)          |            |             | Details of Holding/exercise of right of the SBO in the reporting Company, Whether Direct or Indirect* (iii) |               |  |                     |                                   | Date of creation / acquisition of significant beneficial interest (iv) |
|--------|---|------------|-------------|---|------------|-------------|---|---------------|--|---------------------|-----------------------------------|--|
|        | Name  | PAN        | Nationality | Name  | PAN        | Nationality | Whether by Virtue of:   |               |  |                     |                                   |  |
|        |   |            |             |   |            |             | Shares  | Voting Rights | Rights on Distributable Dividend or any other distribution | Exercise of Control | Exercise of Significant Influence |  |
| 1      | RAJ KUMAR ADLAKHA                               | AJZPK7896C | Indian      | RAJ KUMAR ADLAKHA                             | AJZPK7896C | INDIAN      | 4.26  | 4.26          | 4.26   | No                  | No                                | 17-11-2000   |
|        | RAJ KUMAR ADLAKHA                               | AJZPK7896C | Indian      | LPII BOILERS PRIVATE LIMITED                  | AAACL1650L | INDIAN      | 9.22  | 9.22          | 9.22   | No                  | No                                | 17-11-2000   |
|        | RAJ KUMAR ADLAKHA                               | AJZPK7896C | Indian      | Raj Kumar Adlakhia Huf                        | AAGHR4573R | INDIAN      | 0.01  | 0.01          | 0.01   | No                  | No                                | 17-11-2000   |
|        | RAJ KUMAR ADLAKHA                               | AJZPK7896C | Indian      | UTTAM ADLAKHA & SONS HOLDINGS PRIVATE LIMITED | AAACG0405E | INDIAN      | 42.74   | 42.74         | 42.74  | No                  | No                                | 17-11-2000   |
|        | RAJ KUMAR ADLAKHA                               | AJZPK7896C | Indian      | SHUBHAM SUGARS LTD.                           | AAICS3936L | INDIAN      | 0.18  | 0.18          | 0.18   | No                  | No                                | 17-11-2000   |
| 2      | RANJAN ADLAKHA                                  | AAEPA8800E | Indian      | RANJAN ADLAKHA                                | AAEPA8800E | INDIAN      | 3.91  | 3.91          | 3.91   | No                  | No                                | 17-11-2000   |
|        | RANJAN ADLAKHA                                  | AAEPA8800E | Indian      | UTTAM INDUSTRIAL ENGINEERING PVT. LTD.        | AAACU1074B | INDIAN      | 7.21  | 7.21          | 7.21   | No                  | No                                | 17-11-2000   |



## Uttam Sugar Mills Limited

Table VI - Statement showing foreign ownership limits

| Particular                            | Approved limits (%) | Limits utilized (%) |
|---------------------------------------|---------------------|---------------------|
| As on shareholding date               | 100.00              | 1.06                |
| As on the end of previous 1st quarter | 100.00              | 1.71                |
| As on the end of previous 2nd quarter | 100.00              | 1.44                |
| As on the end of previous 3rd quarter | 100.00              | 0.82                |
| As on the end of previous 4th quarter | 100.00              | 0.65                |

**Notes :-**

- 1) "Approved Limits (%)" means the limit approved by Board of Directors / shareholders of the Listed entity. In case the listed entity has no Board approved limit, provide details of sectoral / statutory cap prescribed by Government / Regulatory Authorities
- 2) Details of Foreign ownership includes foreign ownership / investments as specified in Rule 2(s) of the Foreign Exchange Management (Non-debt Instruments) Rules, 2019, made under the Foreign Exchange Management Act, 1999.

*D.M.*

